

Math 1553, Extra Practice for Midterm 3 (sections 4.5-6.5)

Solutions

1. In this problem, if the statement is always true, circle T; otherwise, circle F.
- a) **T** **F** If A is a square matrix and the homogeneous equation $Ax = 0$ has only the trivial solution, then A is invertible.
 - b) **T** **F** If A is row equivalent to B , then A and B have the same eigenvalues.
 - c) **T** **F** If A and B have the same eigenvectors, then A and B have the same characteristic polynomial.
 - d) **T** **F** If A is diagonalizable, then A has n distinct eigenvalues.
 - e) **T** **F** If A is a matrix and $Ax = b$ has a unique solution for every b in the codomain of the transformation $T(x) = Ax$, then A is an invertible square matrix.
 - f) **T** **F** If A is an $n \times n$ matrix then $\det(-A) = -\det(A)$.
 - g) **T** **F** If A is an $n \times n$ matrix and its eigenvectors form a basis for \mathbf{R}^n , then A is invertible.
 - h) **T** **F** If 0 is an eigenvalue of the $n \times n$ matrix A , then $\text{rank}(A) < n$.

Solution.

- a) **True** by the Invertible Matrix Theorem.
- b) **False:** for instance, the matrices $\begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$ and $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ are row equivalent, but have different eigenvalues.
- c) **False:** $\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$ and $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ have the same eigenvectors (all nonzero vectors in \mathbf{R}^2) but characteristic polynomials λ^2 and $(1 - \lambda)^2$, respectively.
- d) **False:** for instance, $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ is diagonal but has only one eigenvalue.

- e) **True:** We see T is onto since $Ax = b$ is consistent for all b in the codomain of T , and T is one-to-one since the solution to each equation $Ax = b$ is unique, hence T (therefore A) is invertible.
- f) **False:** Since $\det(cA) = c^n \det(A)$, we see $\det(-A) = (-1)^n \det(A) = \det(A)$ if n is even.
- g) **False:** False. For example, the zero matrix is not invertible but its eigenvectors form a basis for \mathbf{R}^n .
- h) **True:** If $\lambda = 0$ is an eigenvalue of A then A is not invertible so its associated transformation $T(x) = Ax$ is not onto, hence $\text{rank}(A) < n$.
2. In this problem, if the statement is always true, circle **T**; if it is always false, circle **F**; if it is sometimes true and sometimes false, circle **M**.
- a) **T** **F** **M** If A is a 3×3 matrix with characteristic polynomial $-\lambda^3 + \lambda^2 + \lambda$, then A is invertible.
- b) **T** **F** **M** A 3×3 matrix with (only) two distinct eigenvalues is diagonalizable.
- c) **T** **F** **M** A diagonalizable $n \times n$ matrix admits n linearly independent eigenvectors.
- d) **T** **F** **M** If $\det(A) = 0$, then 0 is an eigenvalue of A .

Solution.

- a) **False:** $\lambda = 0$ is a root of the characteristic polynomial, so 0 is an eigenvalue, and A is not invertible.
- b) **Maybe:** it is diagonalizable if and only if the eigenspace for the eigenvalue with multiplicity 2 has dimension 2.
- c) **True:** by the Diagonalization Theorem, an $n \times n$ matrix is diagonalizable *if and only if* it admits n linearly independent eigenvectors.
- d) **True:** if $\det(A) = 0$ then A is not invertible, so $Av = 0v$ has a nontrivial solution.

3. In this problem, you need not explain your answers; just circle the correct one(s).

Let A be an $n \times n$ matrix.

a) Which **one** of the following statements is correct?

1. An eigenvector of A is a vector v such that $Av = \lambda v$ for a nonzero scalar λ .
2. An eigenvector of A is a nonzero vector v such that $Av = \lambda v$ for a scalar λ .
3. An eigenvector of A is a nonzero scalar λ such that $Av = \lambda v$ for some vector v .
4. An eigenvector of A is a nonzero vector v such that $Av = \lambda v$ for a nonzero scalar λ .

b) Which **one** of the following statements is **not** correct?

1. An eigenvalue of A is a scalar λ such that $A - \lambda I$ is not invertible.
2. An eigenvalue of A is a scalar λ such that $(A - \lambda I)v = 0$ has a solution.
3. An eigenvalue of A is a scalar λ such that $Av = \lambda v$ for a nonzero vector v .
4. An eigenvalue of A is a scalar λ such that $\det(A - \lambda I) = 0$.

c) Which of the following 3×3 matrices are necessarily diagonalizable over the real numbers? (Circle all that apply.)

1. A matrix with three distinct real eigenvalues.
2. A matrix with one real eigenvalue.
3. A matrix with a real eigenvalue λ of algebraic multiplicity 2, such that the λ -eigenspace has dimension 2.
4. A matrix with a real eigenvalue λ such that the λ -eigenspace has dimension 2.

Solution.

a) Statement 2 is correct: an eigenvector must be nonzero, but its eigenvalue may be zero.

b) Statement 2 is incorrect: the solution v must be nontrivial.

c) The matrices in 1 and 3 are diagonalizable. A matrix with three distinct real eigenvalues automatically admits three linearly independent eigenvectors. If a matrix A has a real eigenvalue λ_1 of algebraic multiplicity 2, then it has another real eigenvalue λ_2 of algebraic multiplicity 1. The two eigenspaces provide three linearly independent eigenvectors.

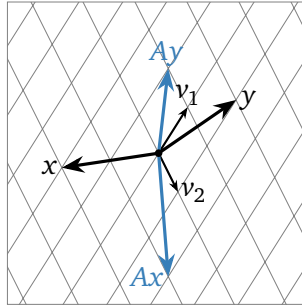
The matrices in 2 and 4 need not be diagonalizable.

4. Short answer.

a) Let $A = \begin{pmatrix} -1 & 1 \\ 1 & 7 \end{pmatrix}$, and define a transformation $T : \mathbf{R}^2 \rightarrow \mathbf{R}^2$ by $T(x) = Ax$.

Find the area of $T(S)$, if S is a triangle in \mathbf{R}^2 with area 2.

b) Suppose that $A = C \begin{pmatrix} 1/2 & 0 \\ 0 & -1 \end{pmatrix} C^{-1}$, where C has columns v_1 and v_2 . Given x and y in the picture below, draw the vectors Ax and Ay .



c) Write a diagonalizable 3×3 matrix A whose only eigenvalue is $\lambda = 2$.

Solution.

a) $|\det(A)|\text{Vol}(S) = |-7-1| \cdot 2 = 16$.

b) A does the same thing as $D = \begin{pmatrix} 1/2 & 0 \\ 0 & -1 \end{pmatrix}$, but in the v_1, v_2 -coordinate system. Since D scales the first coordinate by $1/2$ and the second coordinate by -1 , hence A scales the v_1 -coordinate by $1/2$ and the v_2 -coordinate by -1 .

c) There is only one such matrix: $\begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix}$.

5. Suppose we know that

$$\begin{pmatrix} 4 & -10 \\ 2 & -5 \end{pmatrix} = \begin{pmatrix} 5 & 2 \\ 2 & 1 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 5 & 2 \\ 2 & 1 \end{pmatrix}^{-1}.$$

Find $\begin{pmatrix} 4 & -10 \\ 2 & -5 \end{pmatrix}^{98}$.

Solution.

$$\begin{aligned} \begin{pmatrix} 4 & -10 \\ 2 & -5 \end{pmatrix}^{98} &= \begin{pmatrix} 5 & 2 \\ 2 & 1 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix}^{98} \begin{pmatrix} 5 & 2 \\ 2 & 1 \end{pmatrix}^{-1} = \begin{pmatrix} 5 & 2 \\ 2 & 1 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & -2 \\ -2 & 5 \end{pmatrix} \\ &= \begin{pmatrix} 5 & 2 \\ 2 & 1 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ -2 & 5 \end{pmatrix} = \begin{pmatrix} -4 & 10 \\ -2 & 5 \end{pmatrix}. \end{aligned}$$

6. Let

$$A = \begin{pmatrix} 7 & 1 & 4 & 1 \\ -1 & 0 & 0 & 6 \\ 9 & 0 & 2 & 3 \\ 0 & 0 & 0 & -1 \end{pmatrix} \quad \text{and} \quad B = \begin{pmatrix} 0 & 1 & 5 & 4 \\ 1 & -1 & -3 & 0 \\ -1 & 0 & 5 & 4 \\ 3 & -3 & -2 & 5 \end{pmatrix}$$

- Compute $\det(A)$.
- Compute $\det(B)$.
- Compute $\det(AB)$.
- Compute $\det(A^2B^{-1}AB^2)$.

Solution.

- a) The second column has three zeros, so we expand by cofactors:

$$\det \begin{pmatrix} 7 & 1 & 4 & 1 \\ -1 & 0 & 0 & 6 \\ 9 & 0 & 2 & 3 \\ 0 & 0 & 0 & -1 \end{pmatrix} = -\det \begin{pmatrix} -1 & 0 & 6 \\ 9 & 2 & 3 \\ 0 & 0 & -1 \end{pmatrix}$$

Now we expand the second column by cofactors again:

$$\dots = -2 \det \begin{pmatrix} -1 & 6 \\ 0 & -1 \end{pmatrix} = (-2)(-1)(-1) = -2.$$

- b) This is a complicated matrix without a lot of zeros, so we compute the determinant by row reduction. After one row swap and several row replacements, we reduce to the matrix

$$\begin{pmatrix} 1 & -1 & -3 & 0 \\ 0 & 1 & 5 & 4 \\ 0 & 0 & 7 & 8 \\ 0 & 0 & 0 & -3 \end{pmatrix}.$$

The determinant of this matrix is -21 , so the determinant of the original matrix is 21 .

- $\det(AB) = \det(A)\det(B) = (-2)(21) = -42$.
- $\det(A^2B^{-1}AB^2) = \det(A)^2\det(B)^{-1}\det(A)\det(B)^2 = \det(A)^3\det(B) = (-2)^3(21) = -168$.

7. Give an example of a 2×2 real matrix A with each of the following properties. You need not explain your answer.
- A has no real eigenvalues.
 - A has eigenvalues 1 and 2.
 - A is diagonalizable but not invertible.
 - A is a rotation matrix with real eigenvalues.

Solution.

a) $A = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$.

b) $A = \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix}$.

c) $A = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$.

d) $A = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$.

8. Consider the matrix

$$A = \begin{pmatrix} 4 & 2 & -4 \\ 0 & 2 & 0 \\ 2 & 2 & -2 \end{pmatrix}.$$

- Find the eigenvalues of A , and compute their algebraic multiplicities.
- For each eigenvalue of A , find a basis for the corresponding eigenspace.
- Is A diagonalizable? If so, find an invertible matrix C and a diagonal matrix D such that $A = CDC^{-1}$. If not, why not?

Solution.

- a) We compute the characteristic polynomial by expanding along the second row:

$$\begin{aligned} f(\lambda) &= \det \begin{pmatrix} 4-\lambda & 2 & -4 \\ 0 & 2-\lambda & 0 \\ 2 & 2 & -2-\lambda \end{pmatrix} = (2-\lambda) \det \begin{pmatrix} 4-\lambda & -4 \\ 2 & -2-\lambda \end{pmatrix} \\ &= (2-\lambda)(\lambda^2 - 2\lambda) = -\lambda(\lambda - 2)^2 \end{aligned}$$

The roots are 0 (with multiplicity 1) and 2 (with multiplicity 2).

- b) First we compute the 0-eigenspace by solving $(A - 0I)x = 0$:

$$A = \begin{pmatrix} 4 & 2 & -4 \\ 0 & 2 & 0 \\ 2 & 2 & -2 \end{pmatrix} \xrightarrow{\text{rref}} \begin{pmatrix} 1 & 0 & -1 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

The parametric vector form of the general solution is $\begin{pmatrix} x \\ y \\ z \end{pmatrix} = z \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}$, so a basis

for the 0-eigenspace is $\left\{ \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} \right\}$.

Next we compute the 2-eigenspace by solving $(A - 2I)x = 0$:

$$A - 2I = \begin{pmatrix} 2 & 2 & -4 \\ 0 & 0 & 0 \\ 2 & 2 & -4 \end{pmatrix} \xrightarrow{\text{rref}} \begin{pmatrix} 1 & 1 & -2 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

The parametric vector form for the general solution is $\begin{pmatrix} x \\ y \\ z \end{pmatrix} = y \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix} + z \begin{pmatrix} 2 \\ 0 \\ 1 \end{pmatrix}$,

so a basis for the 2-eigenspace is $\left\{ \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 2 \\ 0 \\ 1 \end{pmatrix} \right\}$.

c) We have produced three linearly independent eigenvectors, so the matrix is diagonalizable:

$$A = \begin{pmatrix} 1 & -1 & 2 \\ 0 & 1 & 0 \\ 1 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix} \begin{pmatrix} 1 & -1 & 2 \\ 0 & 1 & 0 \\ 1 & 0 & 1 \end{pmatrix}^{-1}.$$

9. Find all values of a so that $\lambda = 1$ an eigenvalue of the matrix A below.

$$A = \begin{pmatrix} 3 & -1 & 0 & a \\ a & 2 & 0 & 4 \\ 2 & 0 & 1 & -2 \\ 13 & a & -2 & -7 \end{pmatrix}$$

Solution.

We need to know which values of a make the matrix $A - I_4$ noninvertible. We have

$$A - I_4 = \begin{pmatrix} 2 & -1 & 0 & a \\ a & 1 & 0 & 4 \\ 2 & 0 & 0 & -2 \\ 13 & a & -2 & -8 \end{pmatrix}.$$

We expand cofactors along the third column, then the second column:

$$\begin{aligned}\det(A - I_4) &= 2 \det \begin{pmatrix} 2 & -1 & a \\ a & 1 & 4 \\ 2 & 0 & -2 \end{pmatrix} \\ &= (2)(1) \det \begin{pmatrix} a & 4 \\ 2 & -2 \end{pmatrix} + (2)(1) \det \begin{pmatrix} 2 & a \\ 2 & -2 \end{pmatrix} \\ &= 2(-2a - 8) + 2(-4 - 2a) = -8a - 24.\end{aligned}$$

This is zero if and only if $a = -3$.

10. Consider the matrix

$$A = \begin{pmatrix} 3\sqrt{3} - 1 & -5\sqrt{3} \\ 2\sqrt{3} & -3\sqrt{3} - 1 \end{pmatrix}$$

- Find both complex eigenvalues of A .
- Find an eigenvector corresponding to each eigenvalue.

Solution.

a) We compute the characteristic polynomial:

$$\begin{aligned}f(\lambda) &= \det \begin{pmatrix} 3\sqrt{3} - 1 - \lambda & -5\sqrt{3} \\ 2\sqrt{3} & -3\sqrt{3} - 1 - \lambda \end{pmatrix} \\ &= (-1 - \lambda + 3\sqrt{3})(-1 - \lambda - 3\sqrt{3}) + (2)(5)(3) \\ &= (-1 - \lambda)^2 - 9(3) + 10(3) \\ &= \lambda^2 + 2\lambda + 4.\end{aligned}$$

By the quadratic formula,

$$\lambda = \frac{-2 \pm \sqrt{2^2 - 4(4)}}{2} = \frac{-2 \pm 2\sqrt{3}i}{2} = -1 \pm \sqrt{3}i.$$

b) Let $\lambda = -1 - \sqrt{3}i$. Then

$$A - \lambda I = \begin{pmatrix} (i+3)\sqrt{3} & -5\sqrt{3} \\ 2\sqrt{3} & (i-3)\sqrt{3} \end{pmatrix}.$$

Since $\det(A - \lambda I) = 0$, the second row is a multiple of the first, so a row echelon form of A is

$$\begin{pmatrix} i+3 & -5 \\ 0 & 0 \end{pmatrix}.$$

Hence an eigenvector with eigenvalue $-1 - \sqrt{3}i$ is $v = \begin{pmatrix} 5 \\ 3+i \end{pmatrix}$. It follows that

an eigenvector with eigenvalue $-1 + \sqrt{3}i$ is $\bar{v} = \begin{pmatrix} 5 \\ 3-i \end{pmatrix}$.